



NBC Securities, Inc., Member FINRA and SIPC, is a privately held, full service independent broker-dealer and registered investment advisor. We are an employee-owned firm committed to building relationships as we help build wealth and achieve your lifelong financial goals. What matters most is an investment in potential – the capacity of your legacy to grow into something remarkable, meaningful, lasting, and real. We know that our responsibility is to help build that legacy for generations to come.

Our firm was founded in 1995 and in 2010 formed a strategic relationship with RBC Clearing and Custody, a wholly-owned subsidiary of Royal Bank of Canada – one of the largest diversified financial services companies in North America. Through this partnership, NBC Securities now leverages the financial strength and security of RBC Clearing and Custody to provide you with securities transactions clearance and custody of financial assets. Independent ownership of NBC Securities and strategic alignments enable the firm to focus on providing the highest level of service to you with superior clearing, custodial services, and diversified wealth management solutions.

Today, NBC Securities manages or advises approximately \$5 billion in assets with an operating footprint that spans the US with offices in Alabama, California, Florida, Iowa, Kansas, Maryland, Missouri, Minnesota, New Jersey, New York, Ohio, Tennessee, Texas, and Utah. Our financial consultants average over 25 years of industry experience and have investment expertise in a variety of areas – providing financial advice and solutions through a diverse menu of investment products and services. In addition to offering traditional stocks, bonds, and mutual funds, we offer a comprehensive range of solutions, including separately managed accounts, annuities, insurance, and 401(k) plans.

We take a comprehensive approach to wealth management by understanding your financial situation, unique needs, and goals to deliver an integrated investment strategy designed to achieve your short- and long-term goals. Our independence, breadth of offerings, experience and expertise enable our financial consultants to create a fully customized plan to help build your legacy.

NBC Securities has the freedom and flexibility of a boutique advisory firm which allows us to provide you with support that is centered around your life. We are here to ensure that your best interests are met as we work together to help you navigate the uncertainties of an ever-changing world.

Our goal is to provide a remarkable experience, so that your legacy can reach its full potential.

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